



Session

2002 - 03

House of Lords

Judgments - Bellinger (FC) (Appellant) v. Bellinger

HOUSE OF LORDS SESSION 2002-03
[2003] UKHL 21
on appeal from: [2001] EWCA Civ 1140

OPINIONS

OF THE LORDS OF APPEAL

FOR JUDGMENT IN THE CAUSE

Bellinger (FC) (Appellant) v. Bellinger

ON

THURSDAY 10 APRIL 2003

The Appellate Committee comprised:

Lord Nicholls of Birkenhead

Lord Hope of Craighead

Lord Hobhouse of Woodborough

Lord Scott of Foscote

Lord Rodger of Earlsferry

HOUSE OF LORDS

OPINIONS OF THE LORDS OF APPEAL FOR JUDGMENT

IN THE CAUSE

Bellinger (FC) (Appellant) v. Bellinger

LORD NICHOLLS OF BIRKENHEAD

My Lords,

1. Can a person change the sex with which he or she is born? Stated in an over-simplified and question-begging form, this is the issue raised by this appeal. More specifically, the question is whether the petitioner, Mrs Elizabeth Bellinger, is validly married to Mr Michael Bellinger. On 2 May 1981 Mr and Mrs Bellinger went through a ceremony of marriage to each other. Section 1(c) of the Nullity of Marriage Act 1971, re-enacted in section 11(c) of the Matrimonial Causes Act 1973, provides that a marriage is void unless the parties are 'respectively male and female'. The question is whether, at the time of the marriage, Mrs Bellinger was 'female' within the meaning of that expression in the statute. In these proceedings she seeks a declaration that the marriage was valid at its inception and is subsisting. The trial judge, Johnson J, refused to make this declaration: see [2001] 1 FLR 389. So did the Court of Appeal, by a majority of two to one: see [2001] EWCA Civ 1140, [2002] 2 WLR 411. The majority comprised Dame Elizabeth Butler-Sloss P and Robert Walker LJ. Thorpe LJ dissented.

2. In an alternative claim, advanced for the first time before your Lordships' House, Mrs Bellinger seeks a declaration that section 11(c) of the Matrimonial Causes Act 1973 is incompatible with articles 8 and 12 of the European Convention on Human Rights. The Lord Chancellor has intervened in the proceedings as the minister with policy responsibility for that statutory provision.

3. Mrs Bellinger was born on 7 September 1946. At birth she was correctly classified and registered as male. That is common ground. For as long as she can remember, she felt more inclined to be female. She had an increasing urge to live as a woman rather than as a man. Despite her inclinations, and under some pressure, in 1967 she married a woman. She was then twenty one. The marriage broke down. They separated in 1971 and were divorced in 1975.

4. Since then Mrs Bellinger has dressed and lived as a woman. She underwent treatment, described below. When she married Mr Bellinger he was fully aware of her background. He has throughout been entirely supportive of her. She was described on her marriage certificate as a spinster. Apart from that, the registrar did not ask about her gender status, nor did Mrs Bellinger volunteer any information. Since their marriage Mr and Mrs Bellinger have lived happily together as husband and wife, and have presented themselves in this way to the outside world.

The indicia of sex and transsexual people

5. The indicia of human sex or gender (for present purposes the two terms are interchangeable) can be listed, in no particular order, as follows. (1)

Chromosomes: XY pattern in males, XX in females. (2) Gonads: testes in males, ovaries in females. (3) Internal sex organs other than the gonads: for instance, sperm ducts in males, uterus in females. (4) External genitalia. (5) Hormonal patterns and secondary sexual characteristics, such as facial hair and body shape: no one suggests these criteria should be a primary factor in assigning sex. (6) Style of upbringing and living. (7) Self-perception. Some medical research has suggested that this factor is not exclusively psychological. Rather, it is associated with biological differentiation within the brain. The research has been very limited, and in the present state of neuroscience the existence of such an association remains speculative.

6. In the vast majority of cases these indicia in an individual all point in the same direction. There is no difficulty in assigning male or female gender to the individual. But nature does not draw straight lines. Some people have the misfortune to be born with physiological characteristics which deviate from the normal in one or more respects, and to lesser or greater extent. These people attract the convenient shorthand description of inter-sexual. In such cases classification of the individual as male or female is best done by having regard to all the factors I have listed. If every person has to be classified as either male or female, that is the best that can be done. That was the course, in line with medical opinion, followed by Charles J in *W v W (Physical Inter-sex)* [2001] Fam 111, 146d-f. That is not the problem arising in the present case.

7. Transsexual people are to be distinguished from inter-sexual people. Transsexual is the label given, not altogether happily, to a person who has the misfortune to be born with physical characteristics which are congruent but whose self-belief is incongruent. Transsexual people are born with the anatomy of a person of one sex but with an unshakeable belief or feeling that they are persons of the opposite sex. They experience themselves as being of the opposite sex. Mrs Bellinger is such a person. The aetiology of this condition remains uncertain. It is now generally recognised as a psychiatric disorder, often known as gender dysphoria or gender identity disorder. It can result in acute psychological distress.

8. The treatment of this condition depends upon its severity and the circumstances of the individual. In severe cases conventional psychiatric treatment is inadequate. Ultimately the most that medical science can do in order to alleviate the condition is, in appropriate cases, to rid the body of its intensely disliked features and make it accord, so far as possible, with the anatomy craved. This is done by means of hormonal and other treatment and major surgery, popularly known as a 'sex change' operation. In this regard medical science and surgical expertise have advanced much in recent years. Hormonal treatment can change a person's secondary sexual characteristics. Irreversible surgery can adapt or remove genitalia and other organs, external and internal. By this means a normal body of one sex can be altered so as to give the appearance of a normal body of the other sex. But there are still limits to what can be done. Gonads cannot be constructed. The creation of replica genital organs is particularly difficult with female to male gender reassignment surgery. Chromosomal patterns remain unchanged. The change

of body can never be complete.

9. Surgery of this nature is the last step in what are typically four steps of treatment. The four steps are psychiatric assessment, hormonal treatment, a period of living as a member of the opposite sex subject to professional supervision and therapy (the 'real life experience'), and finally, in suitable cases, gender reassignment surgery. In February 1981 Mrs Bellinger, having been through the previous stages of treatment, successfully underwent this form of surgery. This involved removal of her testes and penis and, in the words of Johnson J, 'the creation of an orifice which can be described as an artificial vagina, but she was still without uterus or ovaries or any other biological characteristics of a woman.' A chromosomal test, dated 8 April 1999, showed her to have a karyotype 46XY pattern, an apparently normal male karyotype.

10. For completeness I should mention in passing that a transsexual person is to be distinguished from a homosexual person. A homosexual is a person who is attracted sexually to persons of the same sex. Nor should a transsexual person be confused with a transvestite. A transvestite is a person who, usually for the purpose of his or her sexual gratification, enjoys dressing in the clothes of the opposite sex.

The present state of the law

11. The present state of English law regarding the sex of transsexual people is represented by the well known decision of Ormrod J in *Corbett v Corbett* [1971] P 83, 104, 106. That case, like the present one, concerned the gender of a male to female transsexual in the context of the validity of a marriage. Ormrod J held that, in this context, the law should adopt the chromosomal, gonadal and genital tests. If all three are congruent, that should determine a person's sex for the purpose of marriage. Any operative intervention should be ignored. The biological sexual constitution of an individual is fixed at birth, at the latest, and cannot be changed either by the natural development of organs of the opposite sex or by medical or surgical means.

12. In *R v Tan* [1983] QB 1053, 1063-1064, the Court of Appeal, comprising May LJ and Parker and Staughton JJ, applied the *Corbett* approach in the context of criminal law. The court upheld convictions which were dependent on Gloria Greaves, a post-operative male to female transsexual, still being in law a man. In *S-T (formerly J) v J* [1998] Fam 103, 122, a case of a female to male transsexual, the correctness of the decision in *Corbett* seems not to have been challenged. But Ward LJ suggested that the decision would bear re-examination.

13. The decision in *Corbett* has attracted much criticism, from the medical profession and elsewhere. The criteria for designating a person as male or female are complex. It is too 'reductionistic' to have regard only to the three *Corbett* factors of chromosomes, gonads and genitalia. This approach ignores 'the compelling significance of the psychological status of the person as a

man or a woman'. Further, the application of the *Corbett* approach leads to a substantially different outcome in the cases of a post-operative inter-sexual person and a post-operative transsexual person, even though, post-operatively, the bodies of the two individuals may be remarkably similar.

14. In overseas jurisdictions *Corbett* has not been universally followed. It was followed, for instance, in South Africa in *W v W* (1976) (2) SALR 308 and in Canada in *M v M (A)* (1984) 42 RFL (2d) 267. But more recently the trend has been in the opposite direction. Thus, for instance, in New Zealand and Australia post-operative transsexuals' assigned sex has been recognised for the purpose of validating their marriages. In New Zealand in *Attorney-General v Otahuhu Family Court* [1995] 1 NZLR 603, 630, Ellis J noted that once a transsexual person has undergone surgery, he or she is no longer able to operate in his or her original sex. He held there is no social advantage in the law not recognising the validity of the marriage of a transsexual in the sex of reassignment. An adequate test is whether the person in question has undergone surgical and medical procedures that have effectively given the person the physical conformation of a person of a specified sex.

15. In Australia Chisholm J reached a similar conclusion in *Re Kevin (validity of marriage of transsexual)* [2001] Fam CA 1074, a case decided after the decision of the Court of Appeal in the present case. Chisholm J's extensive judgment contains a powerful critique of the existing law and a useful review of international developments. Having regard to the view I take of this case, it is not necessary for me to elaborate on his views. Suffice to say, his conclusion was that there is no 'formulaic solution' to determining the sex of an individual for the purpose of the law of marriage. All relevant matters need to be considered, including the person's life experiences and self-perception. Post-operative transsexual people will normally be members of their reassigned sex.

16. This decision was the subject of an appeal. Very recently, on 21 February 2003, the full court of the Federal Family Court dismissed the appeal: Appeal no. EA/97/2001 (unreported). The judgment of the full court contains an invaluable survey of the authorities and the issues. The court concluded that in the relevant Commonwealth marriage statute the words 'man' and 'woman' should be given their ordinary, everyday contemporary meaning. Chisholm J. was entitled to conclude, as a question of fact, that the word 'man' includes a post-operative female to male transsexual person. The full court left open the 'more difficult' question of pre-operative transsexual persons.

The decisions of the courts below

17. The trial judge, Johnson J, recognised there has been a marked change in social attitudes to problems such as those of Mrs Bellinger since *Corbett v Corbett* [1971] P 83 was decided in 1970. The law on this matter in this country is, or is becoming, a minority position, at least so far as Europe is concerned. But the law is clear, and as a judge he had to accept the law as it is. What is also clear is that this is no simple matter. Potentially there are

serious implications to be considered in relation to the law of marriage and other areas of life: see [2001] 1 FLR 389, 402.

18. Likewise, the majority of the Court of Appeal, having considered up to date medical evidence, adhered to the *Corbett* approach. The three criteria relied upon by Ormrod J remain the only basis upon which to decide upon the gender of a child at birth. There is, in informed medical circles, a growing momentum for recognition of transsexual people for every purpose and in a manner similar to those who are inter-sexed. This reflects changes in social attitudes as well as advances in medical research. But recognition of a change of gender for the purposes of marriage would require some certainty regarding the point at which the change takes place. This point is not easily ascertainable. At what point would it be consistent with public policy to recognise that a person should be treated for all purposes, including marriage, as a person of the opposite sex to that which he or she was correctly assigned at birth? This is a question for Parliament, not the courts: see [2002] 2 WLR 411, 434-436, paras 97-109.

19. In his dissenting judgment Thorpe LJ questioned whether it was right, particularly in the context of marriage, to make the chromosomal factor conclusive, or even dominant. It is an invisible feature of an individual, incapable of perception other than by scientific test. In the context of the institution of marriage as it is today it is right to give predominance to psychological factors and to carry out the essential assessment of gender at or shortly before the time of marriage rather than at the time of birth: [2002] 2 WLR 411, 449, para 155.

The European Court of Human Rights

20. This issue has been before the European Court of Human Rights on several occasions in the last twenty years. During this period the development of human rights law on this issue has been remarkably rapid. Until very recently the court consistently held that application of the *Corbett* criteria, and consequent non-recognition of change of gender by post-operative transsexual persons, did not constitute a violation of article 8 (right to respect for private life) or article 12 (right to marry): *Rees v United Kingdom* (1986) 9 EHRR 56, *Cossey v United Kingdom* (1990) 13 EHRR 622, and *Sheffield and Horsham v United Kingdom* (1998) 27 EHRR 163. It is to be noted, however, that in the latter case the court was critical of the United Kingdom's apparent failure to take any steps to keep this area of the law under review. There is, the court said, an increased social acceptance of transsexualism and an increased recognition of the problems which post-operative transsexual people encounter. The court reiterated that this area 'needs to be kept under review by Contracting States': para 60.

21. In its most recent decision the court has taken the view that the sands of time have run out. The United Kingdom's margin of appreciation no longer extends to declining to give legal recognition to all cases of gender reassignment. This was the decision of the court, sitting as a grand chamber, in the case of *Goodwin v United Kingdom* (2002) 35 EHRR 18. Judgment

was given in July 2002, that is, after the Court of Appeal gave its judgment in the present case. Christine Goodwin was a post-operative male to female transsexual. The court held unanimously that the United Kingdom was in breach of articles 8 and 12.

22. The court's judgment was wide-ranging. As it happens, this was not a 'marriage' case. Christine Goodwin had married as a man and later been divorced. Her complaint was that in several respects she, as a post-operative transsexual person, was not treated fairly by the laws or practices of this country. She was unable to pursue a claim for sexual harassment in an employment tribunal because she was considered in law to be a man. She was not eligible for a state pension at 60, the age of entitlement for women. She remained obliged to pay the higher car insurance premiums applicable to men. In many instances she had to choose between revealing her birth certificate and foregoing advantages conditional upon her producing her birth certificate. Her inability to marry as a woman seems not to have been the subject of specific complaint by her. But in its judgment the court expressed its views on this and other aspects of the lack of legal recognition of her gender reassignment.

23. Some of the main points in the judgment of the court can be summarised as follows. In the interests of legal certainty, foreseeability and equality before the law the court should not depart, without good reason, from precedents laid down in previous cases. But the court must have regard to changing conditions within the respondent state and within contracting states generally. The court must respond to any evolving convergence on the standards to be achieved: para 74. A test of congruent biological factors can no longer be decisive in denying legal recognition to the change of gender of a post-operative transsexual: para 100. With increasingly sophisticated types of surgery and hormonal treatments the principal unchanging biological aspect of gender identity is the chromosomal element. It is not apparent that this must inevitably be of decisive significance: para 82. The court recognised that it is for a contracting state to determine, amongst other matters, the conditions under which a person claiming legal recognition as a transsexual establishes that gender re-assignment has been properly effected. But it found 'no justification for barring the transsexual from enjoying the right to marry under any circumstances': para 103.

24. This decision of the court was essentially prospective in character. The court made this plain. Until 1998, the date of the decision in *Sheffield and Horsham v United Kingdom* (1998) 27 EHRR 163, the court had found that the United Kingdom's treatment of post-operative transsexual people was within this country's margin of appreciation and that this treatment did not violate the Convention. By the *Goodwin* decision the court found that 'the situation, as it has evolved, *no longer* falls within the United Kingdom's margin of appreciation': paras 119-120 (emphasis added).

Developments since the Goodwin decision

25. This decision of the European Court of Human Rights prompted three

developments. First, in written answers to the House of Commons on 23 July 2002, the Parliamentary Secretary to the Lord Chancellor's Department noted that the interdepartmental working group on transsexual people had been reconvened. Its terms of reference include re-examining the implications of granting full legal status to transsexual people in their acquired gender. The minister stated that the working group had been asked to consider urgently the implications of the *Goodwin* judgment.

26. The second development has an important bearing on the outcome of this appeal. On 13 December 2002 the government announced its intention to bring forward primary legislation which will allow transsexual people who can demonstrate they have taken decisive steps towards living fully and permanently in the acquired gender to marry in that gender. The legislation will also deal with other issues arising from the legal recognition of acquired gender. A draft outline Bill will be published in due course.

27. The third development was that before your Lordships' House counsel for the Lord Chancellor accepted that, from the time of the *Goodwin* decision, those parts of English law which fail to give legal recognition to the acquired gender of transsexual persons are in principle incompatible with articles 8 and 12 of the Convention. Domestic law, including section 11(c) of the Matrimonial Causes Act 1973, will have to change.

Gender reassignment

28. The distinction between male and female exists throughout the animal world. It corresponds to the different roles played in the reproductive process. A male produces sperm which fertilise the female's eggs. In this country, as elsewhere, classification of a person as male or female has long conferred a legal status. It confers a legal status, in that legal as well as practical consequences follow from the recognition of a person as male or female. The legal consequences affect many areas of life, from marriage and family law to gender-specific crime and competitive sport. It is not surprising, therefore, that society through its laws decides what objective biological criteria should be applied when categorising a person as male or female. Individuals cannot choose for themselves whether they wish to be known or treated as male or female. Self-definition is not acceptable. That would make nonsense of the underlying biological basis of the distinction.

29. This approach did not give rise to legal difficulty before the advent of gender reassignment treatment. This was noted by Lord Reed in his article 'Splitting the difference: transsexuals and European Human Rights law' (September 2000). Gender identity disorder seems always to have existed. But before the advent of gender reassignment treatment a claim by a transsexual person to be recognised in his or her self-perceived gender would have been hopeless. The anatomy of his or her body of itself would have refuted the claim.

30. The position has now changed. Recognition of transsexualism as a psychiatric disorder has been accompanied by the development of

sophisticated techniques of medical treatment. The anatomical appearance of the body can be substantially altered, by forms of treatment which are permissible as well as possible. It is in these changed circumstances that society is now facing the question of how far it is prepared to go to alleviate the plight of the small minority of people who suffer from this medical condition. Should self-perceived gender be recognised?

31. Recognition of gender reassignment will involve some blurring of the normally accepted biological distinction between male and female. Some blurring already exists, unavoidably, in the case of inter-sexual persons. When assessing the gender of inter-sexual persons, matters taken into account include self-perception and style of upbringing and living. Recognition of gender reassignment will involve further blurring. It will mean that in law a person who, unlike an inter-sexual person, had all the biological characteristics of one sex at birth may subsequently be treated as a member of the opposite sex.

32. Thus the circumstances in which, and the purposes for which, gender reassignment is recognised are matters of much importance. These are not easy questions. The circumstances of transsexual people vary widely. The distinction between male and female is material in widely differing contexts. The criteria appropriate for recognising self-perceived gender in one context, such as marriage, may not be appropriate in another, such as competitive sport.

33. Stated very shortly, this is the setting for the legal issues arising on this appeal, to which I now turn.

Gender and marriage: part of a wider problem

34. My Lords, I am profoundly conscious of the humanitarian considerations underlying Mrs Bellinger's claim. Much suffering is involved for those afflicted with gender identity disorder. Mrs Bellinger and others similarly placed do not undergo prolonged and painful surgery unless their turmoil is such that they cannot otherwise live with themselves. Non-recognition of their reassigned gender can cause them acute distress. I have this very much in mind.

35. I also have in mind that increasingly, in the more compassionate times in which we live, there is an international trend towards recognising gender reassignment and not condemning post-operative transsexual people to live in what was aptly described by the European Court of Human Rights in the *Goodwin* case as an intermediate zone, not quite one gender or the other. And in this country gender reassignment has already received legal recognition for some purposes, for example, for the purpose of the discrimination legislation, in section 2A of the Sex Discrimination Act 1975. This section was introduced into the statute by the Sexual Discrimination (Gender Reassignment) Regulations 1999 (SI 1999/1102).

36. Despite this, I am firmly of the view that your Lordships' House, sitting

in its judicial capacity, ought not to accede to the submissions made on behalf of Mrs Bellinger. Recognition of Mrs Bellinger as female for the purposes of section 11(c) of the Matrimonial Causes Act 1973 would necessitate giving the expressions 'male' and 'female' in that Act a novel, extended meaning: that a person may be born with one sex but later become, or become regarded as, a person of the opposite sex.

37. This would represent a major change in the law, having far reaching ramifications. It raises issues whose solution calls for extensive enquiry and the widest public consultation and discussion. Questions of social policy and administrative feasibility arise at several points, and their interaction has to be evaluated and balanced. The issues are altogether ill-suited for determination by courts and court procedures. They are pre-eminently a matter for Parliament, the more especially when the government, in unequivocal terms, has already announced its intention to introduce comprehensive primary legislation on this difficult and sensitive subject.

38. Given this latter circumstance, intervention by the courts would be peculiarly inappropriate when the change being sought in the law raises issues such as the following.

39. First, much uncertainty surrounds the circumstances in which gender reassignment should be recognised for the purposes of marriage. The present case concerns one individual and her particular condition and circumstances. Although some of the evidence produced is of a general nature, the evidence before the House is focused on the facts of this case. So were the arguments. In particular, Miss Scriven QC submitted that wherever the line marking the transition from one sex to the other is to be drawn, Mrs Bellinger is on the reassigned gender side of the line.

40. I do not consider this would be a proper or, indeed, a responsible basis on which to change the law. Surgical intervention takes many forms and, for a variety of reasons, is undertaken by different people to different extents. For men it may mean castration or inversion of the penis to create a false vagina. For women it may mean a mastectomy, hysterectomy, or creation of a false penis by phalloplasty. There seems to be no 'standard' operation or recognised definition of the outcome of completed surgery. Today the case before the House concerns Mrs Bellinger. Tomorrow's case in the High Court will relate to a transsexual person who has been able to undergo a less extensive course of surgery. The following week will be the case of a transsexual person who has undergone hormonal treatment but who, for medical reasons, has not been able to undergo any surgery. Then there will be a transsexual person who is medically able to undergo all or part of the surgery but who does not wish to do so. By what criteria are cases such as these to be decided?

41. But the problem is more fundamental than this. It is questionable whether the successful completion of some sort of surgical intervention should be an essential prerequisite to the recognition of gender reassignment. If it were, individuals may find themselves coerced into major surgical operations they otherwise would not have. But the aim of the surgery is to make the individual feel more comfortable with his or her body, not to 'turn a man into a woman' or vice versa. As one medical report has expressed it, a male to female transsexual person is no less a woman for not having

had surgery, or any more a woman for having had it: see *Secretary, Department of Social Security v SRA* (1993) 118 ALR 467, 477.

42. These are deep waters. Plainly, there must be some objective, publicly available criteria by which gender reassignment is to be assessed. If possible the criteria should be capable of being applied readily so as to produce a reasonably clear answer. Parties proposing to enter into a marriage relationship need to know whether their marriage will be valid. Other people need to know whether a marriage was valid. Marriage has legal consequences in many directions: for instance, housing and residential security of tenure, social security benefits, citizenship and immigration, taxation, pensions, inheritance, life insurance policies, criminal law (bigamy). There must be an adequate degree of certainty. Otherwise, as the majority of the Court of Appeal observed, the applicability of the law to an individual suffering from gender identity disorder would be in a state of complete confusion: see [2002] 2 WLR 411, 435, para 104.

43. Your Lordships' House is not in a position to decide where the demarcation line could sensibly or reasonably be drawn. Where this line should be drawn is far from self-evident. The antipodean decisions of *Attorney-General v Otahuhu Family Court* [1995] 1 NZLR 603 and *Re Kevin (validity of marriage of transsexual)* [2001] Fam CA 1074 and App. EA 97/2001 have not identified any clear, persuasive principle in this regard. Nor has the dissenting judgment of Thorpe LJ in the present case. Nor has the decision of the European Court of Human Rights in *Goodwin v United Kingdom* (2002) 35 EHRR 18. Nor is there uniformity among the thirteen member states of the European Union which afford legal recognition to a transsexual person's acquired gender. The pre-conditions for recognition vary considerably.

44. Further, the House is not in a position to give guidance on what other pre-conditions should be satisfied before legal recognition is given to a transsexual person's acquired gender. Some member states of the European Union insist on the applicant being single or on existing marriages being dissolved. Some insist on the applicant being sterile. Questions arise about the practical mechanisms and procedures for obtaining recognition of acquired gender, and about the problem of people who 'revert' to their original gender after a period in their new gender role.

45. Secondly, the recognition of gender reassignment for the purposes of marriage is part of a wider problem which should be considered as a whole and not dealt with in a piecemeal fashion. There should be a clear, coherent policy. The decision regarding recognition of gender reassignment for the purpose of marriage cannot sensibly be made in isolation from a decision on the like problem in other areas where a distinction is drawn between people on the basis of gender. These areas include education, child care, occupational qualifications, criminal law (gender-specific offences), prison regulations, sport, the needs of decency, and birth certificates. Birth certificates, indeed, are one of the matters of most concern to transsexual people, because birth certificates are frequently required as proof of identity or age or place of birth. When, and in what circumstances, should these certificates be capable of being reissued in a revised form which does not disclose that the person has undergone gender reassignment?

46. Thirdly, even in the context of marriage, the present question raises wider issues. Marriage is an institution, or relationship, deeply embedded in the religious

and social culture of this country. It is deeply embedded as a relationship between two persons of the opposite sex. There was a time when the reproductive functions of male and female were regarded as the primary *raison d'être* of marriage. The Church of England Book of Common Prayer of 1662 declared that the first cause for which matrimony was ordained was the 'procreation of children'. For centuries this was proclaimed at innumerable marriage services. For a long time now the emphasis has been different. Various expressed, there is much more emphasis now on the 'mutual society, help and comfort that the one ought to have of the other'.

47. Against this background there are those who urge that the special relationship of marriage should not now be confined to persons of the opposite sex. It should be possible for persons of the same sex to marry. This, it is said, is the appropriate way to resolve problems such as those confronting Mrs Bellinger.

48. It hardly needs saying that this approach would involve a fundamental change in the traditional concept of marriage. Here again, this raises a question which ought to be considered as part of an overall review of the most appropriate way to deal with the difficulties confronting transsexual people.

49. For these reasons I would not make a declaration that the marriage celebrated between Mr and Mrs Bellinger in 1981 was valid. A change in the law as sought by Mrs Bellinger must be a matter for deliberation and decision by Parliament when the forthcoming Bill is introduced.

Declaration of incompatibility

50. Mrs Bellinger advanced a further, alternative claim for a declaration that in so far as section 11(c) of the Matrimonial Causes Act 1973 makes no provision for the recognition of gender reassignment it is incompatible with articles 8 and 12 of the Convention. Her claim is advanced on the footing that, although she and Mr Bellinger celebrated their marriage long before the Human Rights Act 1998 came into force, and although the *Goodwin* decision dealt with the human rights position as at the date of the judgment (July 2002), the non-recognition of their ability to marry continues to have adverse practical effects. The statute continues to prevent them marrying each other.

51. Mr Sales advanced several arguments on why such a declaration should not be made. There is, he submitted, no present incompatibility between the statute and the Convention. The European Court of Human Rights, in its decision in *Goodwin*, envisaged that the government should have a reasonable period in which to amend domestic law on a principled and coherent basis. The court said it 'will be for the United Kingdom Government *in due course* to implement such measures as it considers appropriate to fulfil its obligations': see (2002) 35 EHRR 18, 33, paragraph 120 (emphasis added).

52. I cannot accept this submission. It may be that, echoing the language of the European Court of Human Rights in *Marckx v Belgium* (1979) 2 EHRR 330, 353, para 58, the principle of legal certainty dispenses the United Kingdom government from re-opening legal acts or situations which antedate the judgment in *Goodwin*. But that is not the present case. In the present case section 11(c) of the Matrimonial

Causes Act 1973 remains a continuing obstacle to Mr and Mrs Bellinger marrying each other.

53. It may also be that there are circumstances where maintaining an offending law in operation for a reasonable period pending enactment of corrective legislation is justifiable. An individual may not then be able, during the transitional period, to complain that his rights have been violated. The admissibility decision of the court in *Walden v Liechtenstein* (App no 33916/96) is an example of this pragmatic approach to the practicalities of government. But the question now under consideration is different. It is more general. The question is whether non-recognition of gender reassignment for the purposes of marriage is compatible with articles 8 and 12. The answer to this question is clear: it is not compatible. The European Court of Human Rights so found in July 2002 in *Goodwin*, and the government has so accepted. What was held to be incompatible in July 2002 has not now, for the purposes of section 4, become compatible. The government's announcement of forthcoming legislation has not had that effect, nor could it. That would make no sense.

54. Then Mr Sales submitted that a declaration of incompatibility would serve no useful purpose. A declaration of incompatibility triggers the ministerial powers to amend the offending legislation under the 'fast track' procedures set out in section 10 and Schedule 2 of the Human Rights Act 1998. But the minister's powers have already been triggered in the present case under section 10(1)(b), by reason of the decisions of the European Court of Human Rights in the *Goodwin* case and the associated case of *I v United Kingdom* (App no. 25680/94). Further, the government has already announced its intention to bring forward primary legislation on this subject. For this reason also, counsel submitted, making a declaration of incompatibility would serve no useful purpose.

55. I am not persuaded by these submissions. If a provision of primary legislation is shown to be incompatible with a Convention right the court, in the exercise of its discretion, may make a declaration of incompatibility under section 4 of the Human Rights Act 1998. In exercising this discretion the court will have regard to all the circumstances. In the present case the government has not sought to question the decision of the European Court of Human Rights in *Goodwin*. Indeed, it is committed to giving effect to that decision. Nevertheless, when proceedings are already before the House, it is desirable that in a case of such sensitivity this House, as the court of final appeal in this country, should formally record that the present state of statute law is incompatible with the Convention. I would therefore make a declaration of incompatibility as sought. I would otherwise dismiss this appeal.

LORD HOPE OF CRAIGHEAD

My Lords,

56. My noble and learned friend Lord Nicholls of Birkenhead has explained nature of the condition from which Mrs Bellinger has been suffering from as long as she can remember and the profound changes which she has undergone, both physically and socially, to give effect to her wish to live her life as a woman rather than as a man. Her courage and that of Mr Bellinger, who has supported her constantly throughout their marriage, deserve our respect and admiration. If there was a legitimate way of

solving their problem and making the declaration which Mrs Bellinger seeks, I would of course wish to take it. But I agree with my noble and learned friend that the expressions "male" and "female" in section 11(c) of the Matrimonial Causes Act 1973 are not capable of being given the extended meaning that would be needed to accommodate her case, and that we have no option but to dismiss this appeal.

57. The essence of the problem, as I see it, lies in the impossibility of changing completely the sex which individuals acquire when they are born. A great deal can be done to remove the physical features of the sex from which the transsexual wishes to escape and to reproduce those of the sex which he or she wishes to acquire. The body can be altered to produce all the characteristics that the individual needs to feel comfortable, and there are no steps that cannot be taken to adopt a way of life that will enable him or her to enter into a satisfactory and loving heterosexual relationship. But medical science is unable, in its present state, to complete the process. It cannot turn a man into a woman or turn a woman into a man. That is not what the treatment seeks to do after all, although it is described as gender reassignment surgery. It is not just that the chromosomes that are present at birth are incapable of being changed. The surgery, however extensive and elaborate, cannot supply all the equipment that would be needed for the patient to play the part which the sex to which he or she wishes to belong normally plays in having children. At best, what is provided is no more than an imitation of the more obvious parts of that equipment. Although it is often described as a sex change, the process is inevitably incomplete. A complete change of sex is, strictly speaking, unachievable.

58. It is tempting to regard the fact that a complete sex change is unachievable as a mere technicality when this is compared with everything else that can be achieved in the case of post-operative transsexuals. But the law of marriage exists in order to define the circumstances in which the public status that follows from a valid marriage may be acquired. There is much to be said for the view that the words "male" and "female" should each be given a single, clear meaning that can be applied uniformly in all cases. That was achieved by the decision in *Corbett v Corbett* [1971] P 83, which pre-dated the re-enactment of section 1(c) of the Nullity of Marriage Act 1971 in section 11(c) of the 1973 Act. Any enlargement of the meaning of those words to accommodate the problems faced by transsexuals would raise questions of fact and degree which are avoided by the use of the words chosen by Parliament.

59. I do not overlook the fact that Mrs Bellinger's consultant urologist, Michael Royle, declared in a letter dated 5 January 1999 that she underwent gender reassignment surgery on 21 February 1981 and that "she is physically female." But it seems to me that this is an incomplete statement of the facts. The wording of section 11(c) demands that they be subjected to a more rigorous assessment. In *Secretary, Department of Social Security v SRA* (1993) 118 ALR 467 it was held that the respondent, who was a pre-operative male to female transsexual, did not fall within the ordinary meaning of the word "female" as her anatomical sex and her psychological sex had not been harmonised. One of the medical reports referred to by Lockhart J in the Federal Court of Australia, at p 477, explained very clearly what the surgery seeks to achieve, and what it cannot do:

"Genetically, and anatomically she is a 'male', however, she dresses and behaves as a woman. She considers herself as a woman. It is not for me to

decide what the court or the Department of Social Security chooses to consider someone - but I do not think of, and treat the respondent as a woman. The fact that she has not had surgery to me is irrelevant. The aim of the surgery is to make somebody feel more comfortable with their body, not to 'turn them into a woman'. The surgery does not supply the patient with a uterus, nor with ovaries. It is purely and simply an attempt to allow the person's body to approximate to how they feel within themselves."

60. Lockhart J said in the *SRA* case at p 480 that the common understanding of the words "woman" and female" and the phrase "opposite sex", which were ordinary English words, was a question of fact and that the crucial question was whether different conclusions were reasonably possible as to whether the facts or circumstances fell within their ordinary meaning. In *Re Kevin (validity of marriage of transsexual)* [2001] FamCA 1074 Chisholm J held that the ordinary contemporary meaning of the word "man" according to its Australian usage included post-operative female to male transsexuals, and that no good reasons had been shown why the ordinary meaning of the word should not apply in the context of marriage law: para 327. He went on to say that there was no formulaic solution for determining the sex of an individual for this purpose, that all relevant factors had to be considered including the person's biological and physical characteristics at birth, the person's life experiences, the extent to which the person has functioned in society as a man or woman, any hormonal, surgical or other medical sex reassignment treatments the person has undergone and the consequences of such treatment and that it was clear from the Australian authorities that post-operative transsexuals will normally be members of their reassigned sex: paras 328-329. He held that a marriage which "Kevin" had entered into with "Jennifer" on 21 August 1999 was a valid marriage under Australian law.

61. In *Re Kevin (validity of marriage of transsexual)* (unreported) Appeal No EA 97/2001, 21 February 2003, the Full Court of the Family Court of Australia, after a comprehensive review of the authorities including the decision of the Court of Appeal in this case (see [2002] 2 WLR 411), agreed with the approach of Chisholm J. The essence of that decision is to be found in the following paragraphs:

"110. The definition of 'marriage' is essentially connected with the term 'man'. In these circumstances, for the reasons stated by the trial judge as amplified by our reasons that appear subsequently, we take the view that the words 'marriage' and 'man' are not technical terms and should be given their ordinary contemporary meaning in the context of the Marriage Act.

111. In our view, it thus becomes a question of fact as to what the contemporary, everyday meanings of the words 'marriage' and 'man' are respectively.

112. It is then a question of law for this court to determine whether, on the facts found by the trial judge, it was open to him to reach the conclusion that he did, namely that at the relevant time, Kevin was a man and that the marriage was therefore valid. As it was in *SRA* (supra) so, too, it is that the answer to that question is '*at the heart of the present case*'."

62. I need hardly say that I entirely agree with the Australian judges that the words "male" and "female" in section 11(c) of the 1973 Act, which is the provision with

which we are faced in this case, are not technical terms and that they must be given their ordinary, everyday meaning in the English language. But no evidence was placed before us to suggest that in contemporary usage in this country, on whichever date one might wish to select - 23 May 1973 when the 1973 Act was enacted, 2 May 1981 when Mr and Mrs Bellinger entered into their marriage ceremony or the date of this judgment, these words can be taken to include post-operative transsexual persons. The definition of "male" in the *New Shorter Oxford English Dictionary* (1993) tells us that its primary meaning when used as an adjective is "of, pertaining to, or designating the sex which can beget offspring". No mention is made anywhere in the extended definition of the word of transsexual persons. The word "transsexual" is defined as "having the physical characteristics of one sex but a strong and persistent desire to belong to the other." I see no escape from the conclusion that these definitions, with which the decision in *Corbett v Corbett* [1971] P 83 and the views of the majority in the Court of Appeal in this case are consistent, are both complete and accurate. The fact is that the ordinary meaning of the word "male" is incapable, without more, of accommodating the transsexual person within its scope. The Australian cases show that a distinction has to be drawn, even according to the contemporary usage of the word in Australia, between pre-operative and post-operative transsexuals. Distinctions of that kind raise questions of fact and degree which are absent from the ordinary meaning of the word "male" in this country. Any attempt to enlarge its meaning would be bound to lead to difficulty, as there is no single agreed criterion by which it could be determined whether or not a transsexual was sufficiently "male" for the purpose of entering into a valid marriage ceremony.

63. In *Goodwin v United Kingdom* (2002) 35 EHRR 18, 24, paras 82-83 the European Court of Human Rights noted that it remains the case, as the court held in *Sheffield and Horsham v United Kingdom* (1998) 27 EHRR 163, that a transsexual cannot acquire all the biological characteristics of the assigned sex. It went on to say that it was not apparent in the light of increasingly sophisticated surgery and hormonal techniques that the chromosomal element, which is the principal unchanging biological aspect of gender identity, must inevitably take on decisive significance for the purpose of legal attribution of gender identity for post-operative transsexuals. So it was not persuaded that the state of medical science or scientific knowledge provided any determining argument as regards the legal recognition of transsexuals on grounds of social and legal policy. But this approach is not at all inconsistent with the view which I would take of the facts. The question which the court was asking itself was not whether the applicant, who was of the male sex when she was born, was now female. Post-operative transsexuals were assumed to fall into a distinct category. The question was whether it was a breach of their Convention rights for legal recognition to be denied to their new sexual identity.

64. Of course, it is not given to every man or every woman to have, or to want to have, children. But the ability to reproduce one's own kind lies at the heart of all creation, and the single characteristic which invariably distinguishes the adult male from the adult female throughout the animal kingdom is the part which each sex plays in the act of reproduction. When Parliament used the words "male" and "female" in section 11(c) of the 1973 Act it must be taken to have used those words in the sense which they normally have when they are used to describe a person's sex, even though they are plainly capable of including men and women who happen to be infertile or are past the age of child bearing. I think that section 5(4)(e) of the Marriage

(Scotland) Act 1977, which provides there is a legal impediment to a marriage in Scots law where the parties "are of the same sex", has to be read and understood in the same way. I do not see how, on the ordinary methods of interpretation, the words "male" and "female" in section 11(c) of the 1973 Act can be interpreted as including female to male and male to female transsexuals.

65. What then are we to make, in this case, of the decision in *Goodwin v United Kingdom* (2002) 35 EHRR 18? If it could be said that the use of the words "male" and "female" in section 11(c) of the 1973 Act was ambiguous, it would have been possible to have regard to that decision in seeking to resolve the ambiguity. But, for the reasons which I have given, I do not think that there is any such ambiguity. Then there is section 3(1) of the Human Rights Act 1998, which places a duty on the courts to read and give effect to legislation in a way that is compatible with the Convention rights if it is possible to do so. But we are being asked in this case to make a declaration about the validity of a marriage ceremony which was entered into on 2 May 1981, and section 3(1) of the 1998 Act is not retrospective: *R v Lambert* [2001] UKHL 37, [2002] 2 AC 545; *R v Kansal (No 2)* [2001] UKHL 62; [2002] 2 AC 69; *R v Lyons* [2002] UKHL 44; [2002] 3 WLR 1562, 1580D, para 45 per Lord Hoffmann and 1586B-C, para 63 per Lord Hutton. The interpretative obligation which section 3(1) provides is not available.

66. But I do not think that it would be right to leave the issue there. If, as I would hold, the 1981 ceremony cannot be held to be a valid marriage ceremony, that is not an end of the matter. It would be open to Mrs Bellinger to try again some other day. It must be emphasised that this is not what she wants to do, as she regards herself as having been happily married since 1981. But we have been asked to say whether the provisions of section 11(c) are incompatible with her Convention rights and, if we find that they are incompatible, to make a declaration of incompatibility. I agree that it is proper that we should undertake this exercise, although neither of these steps can have any effect on the validity or otherwise of the 1981 ceremony.

67. We cannot proceed to the making of a declaration of incompatibility under section 4(2) of the Human Rights Act 1998 without examining the question which section 3(1) of the Act treats as the logically prior question, which is whether the legislation can be read and given effect in a way which is compatible with the Convention rights. As Lord Steyn put it in *R v A (No 2)* [2001] UKHL 25; [2002] 1 AC 45, 68D-E, para 44, a declaration of incompatibility is a measure of last resort. But the word "must" which section 3(1) uses is qualified by the phrase "so far as it is possible to do so". As I said in *R v Lambert* [2002] 2 AC 545, 585B-D, para 79, the obligation, powerful though it is, is not to be performed without regard to its limitations. The obligation applies to the interpretation of legislation, which is the judges' function. It does not give them power to legislate: see also *In re S (Minors) (Care Order: Implementation of Care Plan)* [2002] UKHL 10; [2002] 2 WLR 720, 731B-E, paras 38-39, per Lord Nicholls of Birkenhead.

68. If the only problem of interpretation had been one of timing, on the view that section 11(c) regards "male" and "female" as something that cannot be changed after birth whereas other provisions in the same section such as section 11(b) relate to the position at the time the marriage is entered into, I would have been prepared to read the words "at the time of the marriage" in to section 11(c) so as to give that provision

a meaning which was compatible with the article 12 Convention right. If the only obstacle was that the parties' sex at the time when they were born had been assumed wrongly to be immutable, it could be overcome by disregarding the niceties of language and finding a compatible construction by reading these words in. But that would only have solved the problem for the future if it could indeed be said that Mrs Bellinger had completely changed her sex since birth and that she was now female. That, for the reasons I have sought to explain, is not a possible view of the facts.